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| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |   | ATTORNEY'S DOCKET NUMBER<br>06439/HG                                |
| INTERNATIONAL APPLICATION NO.<br>PCT/JP2005/002884   | INTERNATIONAL FILING DATE<br>23 FEBRUARY 2005 | U.S. APPLICATION NO. (If known, see 37 CFR 1.5)<br><b>107588810</b> |
| PRIORITY DATE CLAIMED<br>24 FEBRUARY 2004  |   |   |
| TITLE OF INVENTION<br><b>AMINO ALCOHOL COMPOUND</b>  |   |   |
| APPLICANT(S) FOR DO/EO/US<br><b>Takahide NISHI; Toshiyasu TAKEMOTO; Shojiro MIYAZAKI; Takaichi SHIMOZATO; Futoshi NARA; Takashi IZUMI</b>  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the Inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> |   |   |
| Items 11 to 20 below concern document(s) or information included:  |   |   |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input checked="" type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:<br/>           (1) CERTIFIED COPY OF JP 2004-048205<br/>           (2) COPY OF REQUEST FOR PCT/JP2005/002884<br/>           (3) COPY OF FORM PCT/IB/301 FOR PCT/JP2005/002884<br/>           (4) COPY OF FORM PCT/ISA/237 FOR PCT/JP2005/002884<br/>           (5) COPY OF FORM PCT/IB/308 (FIRST NOTICE) FOR PCT/JP2005/002884<br/>           (6) COPY OF FORM PCT/IB/304 FOR PCT/JP2005/002884<br/>           (7) COPY OF WO 2005/079788A1, THE PUBLISHED APPLICATION OF PCT/JP2005/002884<br/>           (8) REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION         </p>   |   |   |
| Express Mail Label No. EV 919 339 585 US<br>DATE OF DEPOSIT: AUGUST 9, 2006<br>I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above with sufficient postage, and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450<br><br>Dorothy DeFrancesco   |   |   |

IAP11 Rec'd PCT/PTO 09 AUG 2006

PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>10/588818</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/JP2005/002884                            |              | ATTORNEY'S DOCKET NUMBER<br><b>06439/HG</b> |           |
| The following fees have been submitted  |              |   |              | CALCULATIONS PTO USE ONLY                   |           |
| 21. <input checked="" type="checkbox"/> Basic national fee..... \$300   |              |   |              | \$ 300.00                                   |           |
| 22. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)..... \$0<br>All other situations..... \$200   |              |   |              | \$ 200.00                                   |           |
| 23. <input checked="" type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority..... \$100<br>International Search Report prepared and provided to the Office..... \$400<br>All other situations..... \$500 |              |   |              | \$ 400.00                                   |           |
| <b>TOTAL OF 21, 22 and 23 =</b>   |              |   |              | \$ 900.00                                   |           |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof.                              |              |   |              |   |           |
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| 120 - 100 =   | 20 /50 =     | 1   |              | x \$250                                     |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).  |              |   |              | \$ .00                                      |           |
| CLAIMS  |              | NUMBER FILED  | NUMBER EXTRA | RATE  | \$        |
| Total claims  |              | 32 - 20 =   | 12           | x \$ 50                                     | \$ 600.00 |
| Independent claims  |              | 3 - 3 =   | 0            | x \$200                                     | \$ .00    |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   |              | + \$360                                     |           |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |              | \$ 1750.00                                  |           |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |              |   |              |   |           |
|   |              |   |              | <b>SUBTOTAL =</b> \$ 1750.00                |           |
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|   |              |   |              | <b>TOTAL NATIONAL FEE =</b> \$ 1750.00      |           |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |              | \$ 40.00                                    |           |
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| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-1378</u> . A duplicate copy of this sheet is enclosed.   |              |   |              |   |           |
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| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |   |              |   |           |
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| Richard S. Barth  |              |   |              |   |           |
| Frishauf, Holtz, Goodman & Chick P.C.   |              |   |              |   |           |
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| AUGUST 9, 2006  |              |   |              |   |           |
| Richard Barth   |              |   |              |   |           |
| NAME  |              |   |              |   |           |
| 28,180  |              |   |              |   |           |
| REGISTRATION NUMBER   |              |   |              |   |           |

10/58818  
IAP11 Rec'd PCT/PTO 09 AUG 2006

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicants : Takahide NISHI et al.

Serial No. : To be assigned (U.S. National  
Phase of PCT/JP2005/002884)

Filed : Concomitantly Herewith

For : AMINO ALCOHOL COMPOUND

Docket No. : 06439/HG

Customer No. : 01933

**REQUEST FOR PUBLICATION OF  
ASSIGNMENT INFORMATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**ATTENTION: MAIL STOP PCT**

S I R :

It is requested that the following assignment information be published as part of the 18-month publication of the present application:

SANKYO COMPANY, LIMITED  
5-1 Nihonbashi Honcho 3-chome  
Chuo-ku, Tokyo 103-8426 Japan

Respectfully submitted,



Richard S. Barth  
REG. NO. 28,180

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10/588818

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Application Number

To be assigned  
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phase of  
PCT/JP2005/002884)

Filing Date

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Inventor

Takahide NISHI

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 [X] Attorney or Agent of record.  
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RICHARD S. BARTH - REG. NO. 28,180

Signature

Date

AUGUST 9, 2006

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
 Submit multiple forms if more than one signature is required. See below.

[x] Total of 1 form is submitted.

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